



# Financial Services Law Guide

*Andrew Haynes*

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## **Financial Services Law Guide** Andrew Haynes

Financial Services Law Guide, 4th edition is a comprehensive and highly practical guide to the rules and regulations that govern the financial services industry. Designed to be used on a day-in day-out basis, this new edition covers the requirements and legal responsibilities imposed upon the financial services industry by all regulatory bodies.

The current changes in the regulatory structure are far reaching for the financial services sector and the new rule books that are to be brought in represent a change in financial services regulation in this country that is profound in its nature and both important and subtle in terms of the changes it will bring in for regulated firms. In particular the compliance procedures and relevant managerial approaches adopted in financial services firms will need to change.

Financial Services Law Guide is a comprehensive and highly practical guide to the rules and regulations that govern the financial services industry. Designed to be used on a day-in day-out basis, this new edition covers the requirements and legal responsibilities imposed upon the financial services industry by all regulatory bodies.

Covering the latest developments, this valuable text is set out in the context of common practice and is indispensable for lawyers, compliance officers, consultants and all those connected to the industry.

Includes coverage of the following key legislation:

Financial Services and Markets Act 2000

Financial Services Act 2010

Financial Services Act 2012

Whatever your legal issue or question, you will find the answer in this user-friendly and clearly presented guide.

Contents:

Chapter 1 The Financial Services Regime

Chapter 2 Authorisation

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Chapter 4 Retail Products

Chapter 5 Customer Relations

Chapter 6 Financial Promotion

Chapter 7 Market Abuse

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